

Bare skin, blood and the evolution of primate colour vision

Mark A. Changizi^{1,*}, Qiong Zhang²
and Shinsuke Shimojo^{2,3}

¹Sloan-Swartz Center for Theoretical Neurobiology, California Institute of Biology, MC 139-74, Caltech, Pasadena CA 91125, USA

²Division of Biology, Computation and Neural Systems, MC 139-74, Caltech, Pasadena CA 91125, USA

³JST ERATO Shimojo Implicit Brain Function Project, California Institute of Technology, MC 139-75, Pasadena CA 91125, USA

*Author for correspondence (changizi@caltech.edu).

We investigate the hypothesis that colour vision in primates was selected for discriminating the spectral modulations on the skin of conspecifics, presumably for the purpose of discriminating emotional states, socio-sexual signals and threat displays. Here we show that, consistent with this hypothesis, there are two dimensions of skin spectral modulations, and trichromats but not dichromats are sensitive to each. Furthermore, the M and L cone maximum sensitivities for routine trichromats are optimized for discriminating variations in blood oxygen saturation, one of the two blood-related dimensions determining skin reflectance. We also show that, consistent with the hypothesis, trichromat primates tend to be bare faced.

Keywords: colour; primate; evolution; skin; blood; vision

1. INTRODUCTION

The primate face and rump undergo colour modulations (such as blushing or blanching on the human face, or socio-sexual signalling on the chimpanzee rump), some which may be selected for signalling and some which may be an inevitable consequence of underlying physiological modulations. Because for highly social animals like most primates, one of the most important kinds of object to be competent at perceiving and discriminating is other members of one's own species, we investigated the hypothesis that primate colour vision has been selected for discriminating the spectral modulations on the skin of conspecifics, these modulations providing useful information about the current state or mood of another conspecific.

A first prediction of our hypothesis is that the space of skin colour modulations should be adequately spanned by the two chromatic mechanisms available to trichromats, but not to the single chromatic mechanism available to dichromats. This is indeed the case, as we now explain. The reflectance spectra for human skin possess a characteristic signature (figure 1*a*), including a 'W' feature near 550 nm (see electronic supplementary material, figure 2).

The electronic supplementary material is available at <http://dx.doi.org/10.1098/rsbl.2006.0440> or via <http://www.journals.royalsoc.ac.uk>.

This feature is due to the absorption spectrum of oxygenated haemoglobin in the blood (figure 1*b*), and is found in spectra of primate skin as well (figure 1*a*). What is important for our hypothesis is not the baseline reflectance spectrum of skin, which will differ across human phenotypes (Jablonski & Chaplin 2000) and across primates (Sumner & Mollon 2003), but the manner in which the skin reflectance is modulated in the short term, something that is universal across primates. There are two dimensions of skin reflectance modulation, (i) haemoglobin oxygen saturation and (ii) haemoglobin skin concentration (Zonios *et al.* 2001). Changes in these two parameters lead to predictable changes in the reflectance of skin (figure 1*c*). Greater oxygen saturation leads to a more-defined 'W' feature with a larger difference between its troughs and centre peak, raising the L cone activation (which is at the peak of the 'W') relative to the M cone (which is near the first trough of the 'W'), leading to redder; lower oxygen saturation does the opposite, leading to greener (figure 1*d*). For example, skin with veins underlying it, possesses a high concentration of deoxygenated blood and is greenish blue (Kienle *et al.* 1996), and skin with blood accumulation after administering a tourniquet possesses a high concentration of relatively oxygenated blood and is reddish-blue (i.e. purplish); these two skin conditions differ primarily in regards to oxygen saturation (because they both have high-haemoglobin concentration), and their colour difference is primarily a red-green one (figure 1*e*). Greater haemoglobin concentration in the skin, on the other hand, leads to an overall lowering of the entire 'W' feature in the filtered spectrum (but not much change in the difference between the troughs and the peak of the 'W'), lowering the M/L activation relative to the S activation, which leads to bluer; lower haemoglobin concentration does the opposite, leading to yellower (figure 1*d*). For example, bloodless skin is relatively yellow, whereas skin with greater amounts of blood is bluer, e.g. green-blue for veins and reddish-blue after application of a tourniquet (figure 1*e*). Dichromat primates have only one chromatic dimension, not two, and will be able to capture only one of the two blood-related dimensions of skin colour variation, namely the haemoglobin concentration dimension.

If trichromacy was selected for discerning the colour modulations in skin, then trichromats should not merely be sensitive to the oxygen saturation dimension. Rather, the hypothesis predicts that the M and L maximum wavelength sensitivities should be near optimal for discriminating this dimension. To see that this is the case, note first that varying the oxygen saturation of haemoglobin in the skin modulates the 'W' feature, turning it from a 'W' when oxygen saturation is high to a 'U' when oxygen saturation is low (figure 1*b* and *c*). Supposing that the M and L sensitivities must jointly serve the ancestral role of the single M/L cone in dichromatic primates (which has maximal sensitivity at 543 nm), it follows that the maximal sensitivities for M, L and their sum must be near 543 nm. With this constraint, M and L wavelength sensitivities would be optimized for detecting oxygenation variation if they were at approximately 540 and 560 nm, respectively (figure 2*a*). This prediction fares well among the

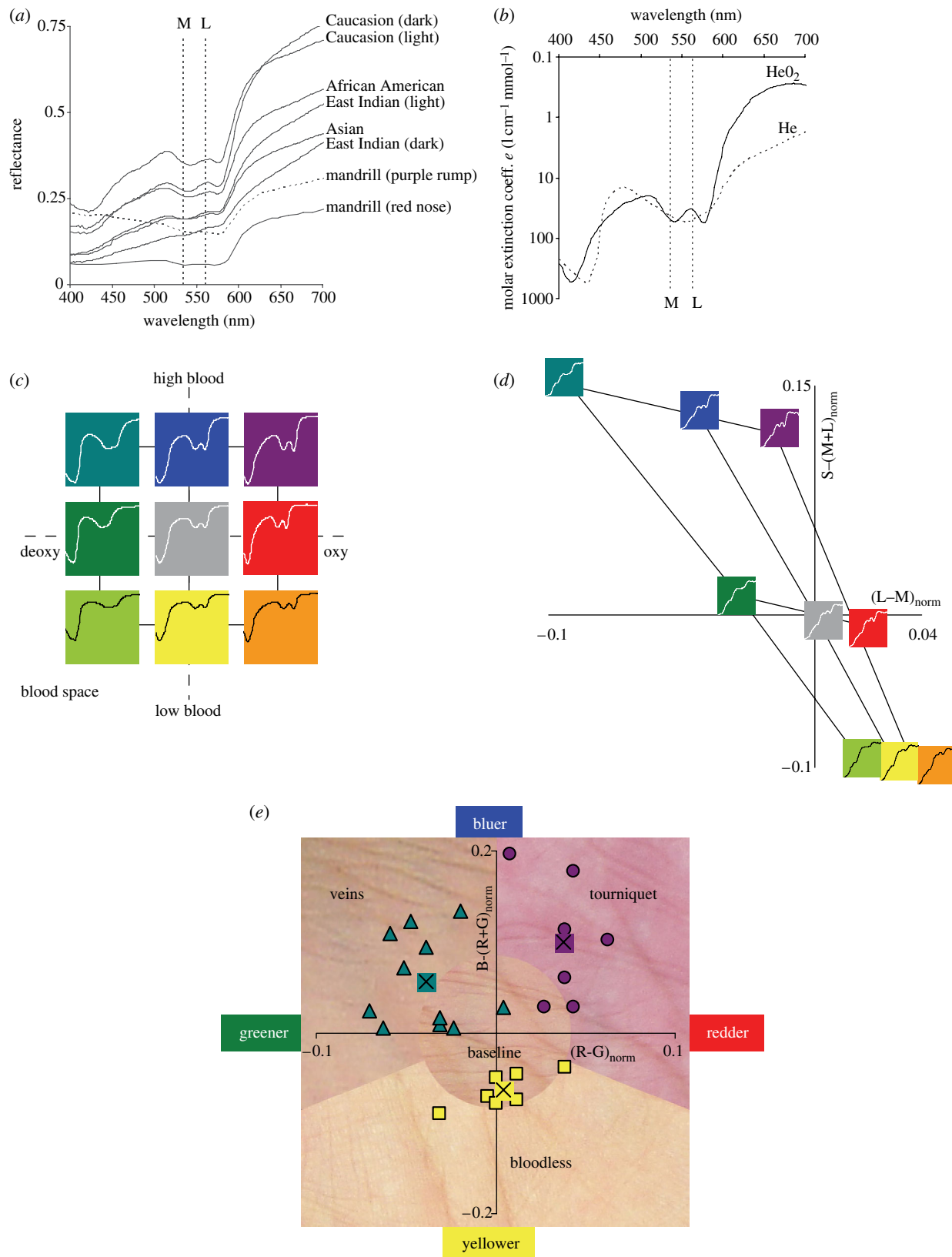


Figure 1. (a) Reflectance spectra from a variety of human skin (data from NCSU spectral database), and from one male primate, namely *Mandrillus sphinx* (Sumner & Mollon 2003). Also shown here and in (b) are the maximal sensitivities for the M and L cones for routine trichromats. (b) Absorption spectrum for oxygenated and deoxygenated haemoglobin (from Scott Prahl, Oregon Medical Laser Center, <http://omlc.ogi.edu>). (c) Blood space for skin spectral modulation, showing the two principle variables that affect skin colour in the short term: haemoglobin oxygen saturation (x -axis), and haemoglobin skin concentration (y -axis). 'High', 'baseline' and 'low' values for these two variables were chosen, and the figure shows the nine skin spectra for all pairs of these parameter settings. Colours code the approximate direction of colour shift from baseline (centre). (d) Relative change from baseline for $L-M$ and $S-(L+M)$ for the nine model skin spectra varying over blood space from (c). Shown now are the filtered skin spectra actually reaching the retina. (e) Example skin colour modulations from modulations of blood variables. Data points show positions in this colour space for RGB values of skin under these conditions, along with the average values. See electronic supplementary material for the extended legend for this figure.

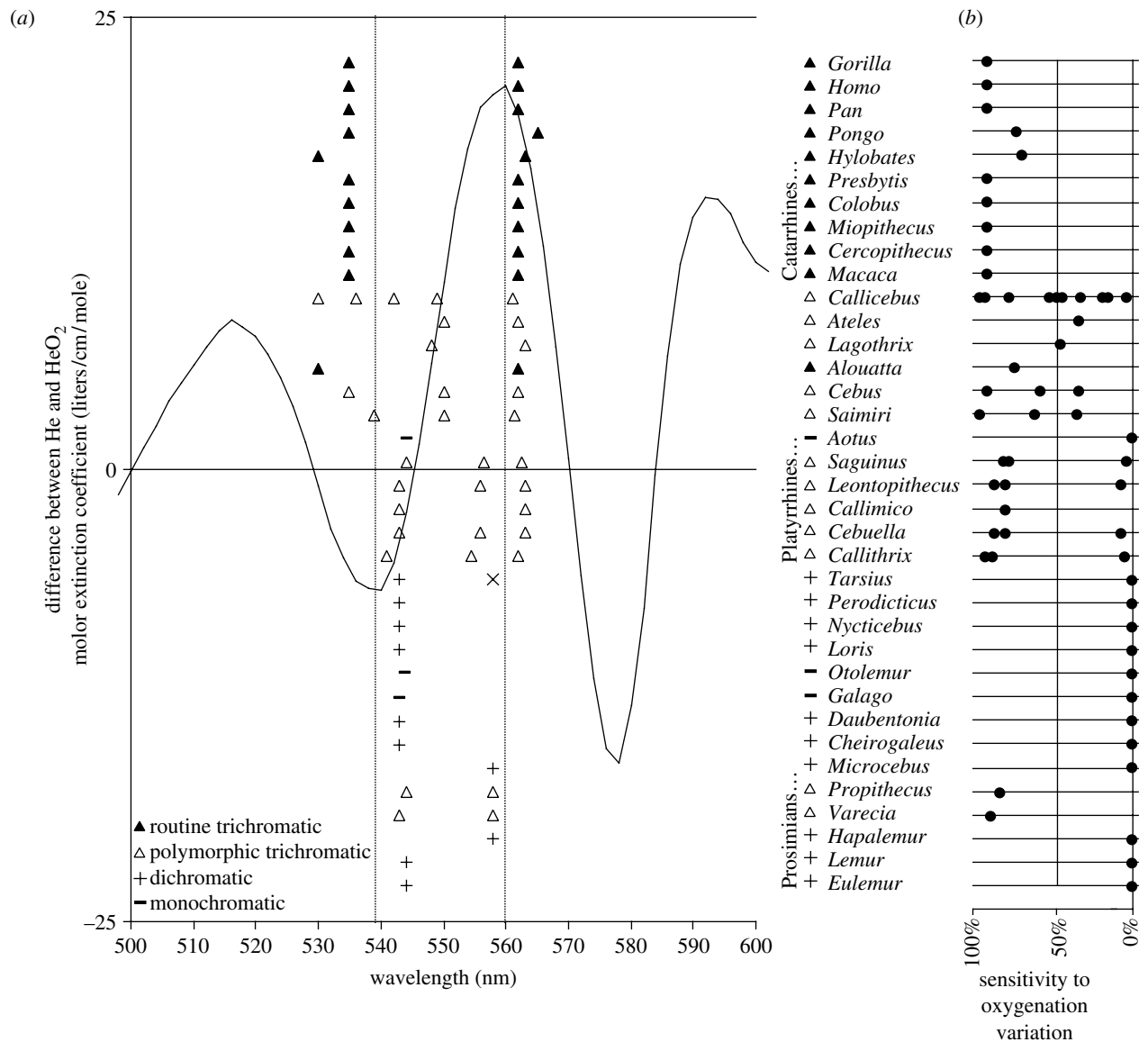


Figure 2. (a) The curve shows the difference between the absorption spectrum for oxygenated and deoxygenated haemoglobin. The difference between oxygenated and deoxygenated skin spectra lead to qualitatively identical curves, no matter the specific skin constants (i.e. same peak and valley wavelengths). Shown also are peak M/L cone sensitivities for the primate genera shown (reviewed in [Surridge et al. \(2003\)](#)). (Height in the plot for these is only to separate the data.) The vertical lines are at wavelengths where we would expect the maximal sensitivities of M and L cones to be, respectively, if they are optimally sensitive for oxygenation variation, and subject to the constraint that M and L jointly function as the single dichromat M/L cone. For *Tarsius*, [Surridge et al. \(2003\)](#) give two different values for the single M/L cone, and an 'x' point is shown for the longer wavelength one. For *Cebuella*, an allele at 543 nm has been added because [Surridge & Mundy \(2002, p. 2164\)](#) believe it probably exists but was not measured due to low sample size. (b) A plot of sensitivity of M/L cones to oxygenation variation, for each primate genus, where 100% would occur if the maximal sensitivities of M and L occurred at the optimum for oxygenation sensitivity. For polymorphic trichromatic primates, points are placed for each of the possible pairs of M/L cones. In several cases, [Surridge et al. \(2003\)](#) do not mention all three M/L cones, and we utilized the value from other genera in the same family. The line shows the average sensitivity for all M and L pairs centred around 543 nm (the typical dichromat maximal sensitivity wavelength), where M ranges as low as 500 nm.

routine trichromats, who have M and L maximal sensitivities ([Jacobs & Deegan 1999](#)) of approximately 535 and 562 nm, respectively ([figure 2a](#)), providing near-optimal sensitivity to oxygenation modulation ([figure 2b](#)). Among polymorphic trichromats, most of the Cebid (e.g. *Callicebus*, *Ateles*, *Lagothrix*, *Cebus* and *Saimiri*) trichromat phenotypes possess significant sensitivity to oxygen saturation, although not all phenotypes are near-optimal ([figure 2b](#)). Among the Callitrichidae (e.g. *Saguinus*, *Leontopithecus*, *Callimico*, *Cebuella* and *Callithrix*) trichromat phenotypes, two of

the three possess near optimal sensitivity to oxygen saturation, and the third (approximately 556/562) possesses little or no sensitivity ([figure 2b](#)). It is interesting to note in this regard that the M/L cone with maximal sensitivity at 556 nm occurs disproportionately rarely in the population ([Rowe & Jacobs 2004](#)), only 19.7%, perhaps because the 556/562 phenotype is insensitive to oxygen saturation variation. Our hypothesis predicts sensitivity to skin colour variation not just for the early visual mechanisms (i.e., cone sensitivities and opponency), but in

perception as well, and evidence supports this (see electronic supplementary material, §2). And related to this, our hypothesis predicts that dichromats should be perceptually handicapped at discriminating skin colour modulations, and they are, as predicted, notoriously poor at such discriminations (see electronic supplementary material, table 1).

Because skin spectral variations cannot be perceived on a face without bare skin, the hypothesis predicts that trichromatic primates should have bare faces (or at least some other body region with bare skin, such as a bare rump, something widely known to be true among Old World Primates, Wickler (1967)). A cursory look at photographs of 97 species from 35 primate genera demonstrates that this is a strong regularity (see electronic supplementary material, figure 1). Estimates of the average bareness on the face are shown in electronic supplementary material, figure 1e, and one can see that monochromats and dichromats tend to have furry faces, whereas polymorphic and routine trichromats tend to have bare faces. Note that among the polymorphic trichromats are two prosimians (prosimians who in other known cases are monochromatic or dichromatic), *Varecia* and *Propithecus* (the top two photographs in electronic supplementary material, figure 1e for the polymorphic trichromats), and they each have substantial bare spots on their face. This connection between bare skin and colour vision may be important in understanding why humans are the 'naked ape': for primates with colour vision, skin modulations may serve as signalling on any body part that can be seen (e.g. a chimpanzee rump), and for apes that walk upright, more parts of the body are potentially visible and amenable to colour signalling. (See §3 of the electronic supplementary material for further discussion of face bareness and also see §4 and figures 2 and 3, for a discussion of evidence of the visibility of skin colour modulations.)

We should emphasize that the idea that colour vision is important for colour signalling is not new (e.g. Hingston 1933; Wickler 1967; Regan *et al.* 2001; Waitt *et al.* 2003; Liman & Innan 2003; Zhang & Webb 2003), except that typically it is assumed that colour vision was originally selected for some other reason. One of the main contributions we make here is the argument that colour vision is near-optimal for discriminating skin colour modulations, something that increases the prima facie plausibility of the hypothesis that trichromacy was originally selected for the perception of skin colour signalling. Other adaptive explanations have been put forth to explain primate colour vision, including advantages for frugivory (Allen 1879; Mollon 1989; Osorio & Vorobyev 1996; Regan *et al.* 2001; Surridge & Mundy 2002), and for folivory (Lucas *et al.* 2003). Our discussion here provides no answer as to which of these may more likely have been the original selection pressure for trichromacy, or whether all these hypotheses may be important contributors (Regan *et al.* 2001). One advantage of the skin colour-signalling hypothesis is that, whereas

there is a wide variety of trichromat frugivory and folivory behaviour, skin colour modulation is due to fundamental properties of blood shared by all primates, and this could be key in understanding the universal M and L cone sensitivities of routine trichromats. There are other phenomena that colour signalling can explain but these others cannot, including the high degree of perceptual discriminability and colour-uncategorizability of skin tones (see electronic supplementary material, §2), the bareness of trichromat faces, and the close affinity of colour to blood, skin colour and emotional states (see electronic supplementary material, §5 and figures 4, 5 and 6).

We wish to thank two helpful referees for their comments. Support for this research was given by 5F32EY015370-02, NIH (to M.A.C.) and JST.ERATO, Japan (to S.S.).

- Allen, G. 1879 *The colour-sense: its origin and development*. London, UK: Trubner & Co.
- Hingston, R. W. G. 1933 *The meaning of animal colour and adornment*. London, UK: Edward Arnold.
- Jablonski, N. G. & Chaplin, G. 2000 The evolution of human skin coloration. *J. Hum. Evol.* **39**, 57–106. (doi:10.1006/jhev.2000.0403)
- Jacobs, G. H. & Deegan, J. F. 1999 Uniformity of colour vision in Old World monkeys. *Proc. R. Soc. B* **266**, 2023–2028. (doi:10.1098/rspb.1999.0881)
- Kienle, A., Lilge, L., Vitkin, A., Patterson, M. S., Wilson, B. C., Hibst, R. & Steiner, R. 1996 Why do veins appear blue? A new look at an old question. *Appl. Opt.* **35**, 1151–1160.
- Liman, E. R. & Innan, H. 2003 Relaxed selective pressure on an essential component of pheromone transduction in primate evolution. *Proc. Natl Acad. Sci. USA* **100**, 3328–3332. (doi:10.1073/pnas.0636123100)
- Lucas, P. W. *et al.* 2003 Evolution and function of routine trichromatic vision in primates. *Evolution* **57**, 2636–2643.
- Mollon, J. D. 1989 "Tho she kneel'd in that place where they grew...". *J. Exp. Biol.* **146**, 21–38.
- Osorio, D. & Vorobyev, M. 1996 Colour vision as an adaptation to frugivory in primates. *Proc. R. Soc. B* **263**, 593–599.
- Regan, B. C., Julliot, C., Simmen, B., Vienot, F., Charles-Dominique, P. & Mollon, J. D. 2001 Fruits, foliage and the evolution of primate colour vision. *Phil. Trans. R. Soc. B* **356**, 229–283. (doi:10.1098/rstb.2000.0773)
- Rowe, M. P. & Jacobs, G. H. 2004 Cone pigment polymorphism in New World monkeys: are all pigments created equal? *Visual Neurosci.* **21**, 217–222.
- Sumner, P. & Mollon, J. D. 2003 Colors of primate pelage and skin: objective assessment of conspicuousness. *Am. J. Primatol.* **59**, 67–91. (doi:10.1002/ajp.10066)
- Surridge, A. K. & Mundy, N. I. 2002 Trans-specific evolution of opsin alleles and the maintenance of trichromatic colour vision in Callitrichine primates. *Mol. Ecol.* **11**, 2157–2169. (doi:10.1046/j.1365-294X.2002.01597.x)
- Surridge, A. K., Osorio, D. & Mundy, N. I. 2003 Evolution and selection of trichromatic vision in primates. *Trends Ecol. Evol.* **18**, 198–205. (doi:10.1016/S0169-5347(03)00012-0)

- Waitt, C., Little, A. C., Wolfensohn, S., Honess, P., Brown, A. P., Buchanan-Smith, H. M. & Perrett, D. I. 2003 Evidence from rhesus macaques suggests that male coloration plays a role in female primate choice. *Proc. R. Soc. B* **270**(Suppl), S144–S146.
- Wickler, W. 1967 Socio-sexual signals and their intra-specific imitation among primates. In *Primate ethology* (ed. D. Morris), pp. 69–147. London, UK: Weidenfeld and Nicolson.
- Zhang, J. & Webb, D. M. 2003 Evolutionary deterioration of the vomeronasal pheromone transduction pathway in catarrhine primates. *Proc. Natl Acad. Sci. USA* **100**, 8337–8341. (doi:10.1073/pnas.1331721100)
- Zonios, G., Bykowski, J. & Kollias, N. 2001 Skin melanin, hemoglobin, and light scattering properties can be quantitatively assessed in vivo using diffuse reflectance spectroscopy. *J. Invest. Dermatol.* **117**, 1452–1457. (doi:10.1046/j.0022-202x.2001.01577.x)

Supplementary Materials

[for Changizi, Zhang and Shimojo, “Bare skin, blood, and the evolution of primate color vision.”]

1. Supplementary extended legend for Figure 1 of main paper

The skin spectra in Figure 1c and 1d utilize an analytical physics model (Zonios et al., 2001), with the following parameter settings: melanin content = 10^{-4} mmol/liter, hemoglobin concentration in the blood = 2.32, reduced scattering coefficient = 1.5 mm^{-1} , and scattering size = $0.5 \text{ }\mu\text{m}$. Our variations of oxygen saturation ranged over three values, 25% (“deoxy”), 75% (“baseline”) and 100% (“oxy”), and hemoglobin skin concentration over 0.5% (“low blood”), 1% (“baseline”) and 2% (“high blood”). In computing the cone responses for Figure 1d, we used illuminant D65, 2° cone fundamentals (Stockman & Sharpe, 2000), and human macular pigment density and lens density spectra (Bone et al., 1992; Stockman et al., 1999). These spectral data are obtainable from the the web site of A. Stockman and L. T. Sharpe, Color and Vision Research Laboratories, Institute of Ophthalmology (<http://cvrl.ioo.ucl.ac.uk/>). Once the S, M and L cone activations were computed, we computed $u = L-M$ and $v = S-(L+M)$. We are interested in the shift in these values from the baseline skin, and this was computed as $u_{\text{norm}} = (u-u_{\text{base}})/\text{abs}(u_{\text{base}})$, and $v_{\text{norm}} = (v-v_{\text{base}})/\text{abs}(v_{\text{base}})$. In Figure 2d we denote these as $(L-M)_{\text{norm}}$ and $S-(L+M)_{\text{norm}}$. The photographs in Figure 1e are of skin from the second author’s palm, and they are approximately placed in color space according to their color deviation from baseline. Baseline skin is shown in the center, skin with the blood manually pressed out becomes yellowed and is shown on the bottom, skin after several minutes of tourniquet acquires a significant increase in blood concentration, and becomes purplish, which is on the upper right of this color space, and the skin possessing underlying veins is modulated toward green-blue (the veins run roughly vertically through this photograph), and shown on the top left. [Note that skin with underlying veins seen through an aperture does not appear green-blue; the color is shifted toward green-blue, and appears green-blue when viewed with surrounding normal skin (Kienle, 1996).] The color space is a

pseudo-opponent color space, normalized so that baseline skin is the zero point. It is computed as described above, but using RGB rather than LMS.

2. Supplementary discussion of evidence for perceptual sensitivity to skin color modulations

In addition to the M and L cone sensitivities being near-optimal for responding to skin spectral modulations, there is evidence that perception is indeed sensitive to these modulations. First, that humans are perceptually highly sensitive to skin color variation is widely appreciated by television engineers (Lee & Ha 1997), artists (Horton & Harrison 1995) and animators (Patel 1995), who know that skin color is one of the most difficult colors to render appropriately. Face recognition is color dependent to a much greater degree than is object-recognition more generally (Subramanian & Biederman, 1997; Tarr et al., 2001; Yip & Sinha, 2002; Russell et al., 2004). Consistent with maximum sensitivity to skin color changes (Kalish 1958, Honig & Urcuioli 1981), skin color (of one's own phenotype, at least) is very difficult to categorize. Of the 11 basic color terms across languages (Kay & Regier 2003) none apply well to skin. In fact, in OSA uniform color space there is only one conspicuous large region to which no basic color term applies, and in this region of color space lie the skin tones (Boynton & Olson 1987); the least color-categorizable position in OSA space is a skin tone within this region (Boynton 1997). In addition to the preceding evidence that humans are perceptually highly discriminating of skin colors, human dichromats are, as predicted, notoriously poor at such discriminations (Supplementary Table 1).

3. Supplementary discussion of skin bareness

An alternative potential explanation for the phenomenon that trichromats tend to be bare-faced is that because trichromats tend to be social (Allman, 1999), face recognition is important, and perhaps bare skin aids in face recognition. It is not obvious, however, that bare skin is such an aid; other mammals are able to

recognize one another without bare skin (e.g., canines). A related alternative potential explanation is that non-color facial expressions are important for trichromats, and the recognition of such expressions is perhaps aided when the skin is bare. As for face recognition, it is not *prima facie* clear that bare skin is advantageous for non-color facial expression perception and discrimination, for fur can in principle help to accentuate the visibility of muscular movements (e.g., eye-lashes make eye-lid movements easier to see). We note that each of these potential alternative hypotheses are not inconsistent with bare skin also being crucial for color-signaling.

We note that we do not currently have an explanation for the high incidence of dichromacy in male humans (despite their possessing the least hair among catarrhine primates), nor can we (or frugivory and folivory hypotheses) explain the lack of trichromacy among male platyrrhines.

Finally, whether or not trichromacy was originally selected for the perception of skin color signaling, there are two possibilities concerning the evolution of face bareness. (1) Bare skin (e.g., on the face or rump) was selected for some other reason, after which trichromacy was useful for discriminating skin color modulations. (2) Trichromacy could have been mildly useful even on a lightly furry face, after which skin-color signaling could have been selected for, along with the gradual loss of fur. We currently know of no theoretical or empirical reason to favor one of these possibilities over the other.

4. Supplementary discussion of evidence concerning color modulations in primates and man

Does primate skin, in fact, perceptibly change in color as a function of mood or state? It is common knowledge that this is the case for many human phenotypes (Darwin, 1899). Non-human primates appear to undergo many of the same emotions as humans (Parr, 2003), and it has long been noticed that some primate faces undergo short-term color modulations with emotion (e.g., in Darwin, 1899; red with anger, p.

137; pale with terror, p. 144). More generally, non-human primates undergo skin color changes that are indicative of state (psychological or physical)—e.g., socio-sexual signals and threat displays—and these colors are perceived by conspecifics (e.g., Hingston, 1933; Wickler, 1967; Waite et al., 2003).

Many human and non-human primates have darkly pigmented skin, and one may wonder how perceptible color modulations are in such cases. For example, in Figure 1a one can see that the “W” feature has a much lower amplitude for some skin (e.g., “East Indian (dark)”) than for others, and perhaps will undergo less change as a function of oxygenation. Supplementary Figure 2 shows a variety of skin spectra from the NCSU skin spectrum database for East Indians, Asians, Africans and Caucasians, focusing on the “W” feature. One can see that the amplitude of the “W” feature is variable within each of these four human phenotypes, but that for each phenotype there are cases where the “W” feature is salient, suggesting that the feature is found universally across human phenotypes, albeit with variation within a phenotype. Furthermore, although darker skin diminishes the amplitude of the “W”, the entire reflectance spectrum is also lower, and the amplitude of the “W” *relative* to the overall height of the reflectance spectrum does not significantly correlate with the lightness of skin (Supplementary Figure 3). That is, the salience of the “W” feature does not significantly correlate with the lightness of the skin.

In addition, there is evidence that blushes are visible on even very dark-skinned faces, although the blush appears different than that of a lighter-skinned person. Darwin writes, “Several trustworthy observers have assured me that they have seen on the faces of negroes an appearance resembling a blush, under circumstances which would have excited one in us, though their skins were of an ebony-black tint. Some describe it as blushing brown, but most say that the blackness becomes more intense.” (Darwin, 1899, p. 318). Darwin follows this with, “It is asserted by four of my informants that the Australians, who are almost as black as negroes, never blush. A fifth answers doubtfully, remarking that only a very strong blush could be seen, on account of the dirty state of their skins. Three observers state that they do blush; Mr. S.

Wilson adding that this is noticeable only under a strong emotion, and when the skin is not too dark from long exposure and want of cleanliness. Mr. Lang answers, ‘I have noticed that shame almost always excites a blush, which frequently extends as low as the neck.’ ” (Darwin, 1899, p. 319-320). Concerning such observations, one must recognize that many of these observers were white and probably raised in an environment where lighter skin was the normal baseline; we would expect such individuals to be less capable of discriminating skin color deviations around a dark-skinned baseline. For example, Darwin writes that “Von Spix and Martius, in speaking of the aborigines of Brazil, assert that they cannot properly be said to blush; ‘it was only after long intercourse with the whites, and after receiving some education, that we perceived in the Indians a change of colour expressive of the emotions of their minds.’ ” (Darwin, 1899, p. 318). Although the implication is that the aborigine’s education led to greater blushing, it is much more plausible that it was the whites’ greater experience with aborigine skin color that trained them to perceive it.

Finally, we note that just as there is a large range of skin lightnesses in human (Jablonski & Chaplin, 2000), there is a large range for non-human primates (approximately one order of magnitude, Sumner & Mollon, 2003, Fig 5B, and also see the pictures in Supplementary Figure 1 here). Thus, although the ancestral human may have been dark-skinned, it is not clear what was the skin color for the ancestral trichromat. Even if very dark skin makes color modulations more difficult to perceive (something we provided some evidence against above), the ancestral trichromat may have lighter skin, after which some primates evolved darker skin.

5. Supplementary discussion of evidence concerning the association between color and mood/emotion

Sensitivity to modulations of the two blood variables is presumably selected for not because of a selective advantage to sensing changes in blood physiology per se, but because of the selective advantage

of perceiving the associated emotional states or moods. Our hypothesis expects, therefore, that skin color modulations should have strong associations with emotional states. That this is true for humans is known informally to all of us; e.g., blushing with embarrassment, blanching with fear, and reddening with anger, and there is a long history of psychophysiological studies of emotion and its physiological correlates, including skin color modulations (Darwin 1899/1965; Sinha et al. 1992; Cacioppo et al. 1993; Drummond 1994; Levenson 2003). Color is sufficiently suggestive of emotion that cartoons often use color on a face to indicate emotional state (see Supplementary Figure 4). [Red clothing even appears to enhance human performance in Olympic combat sports (Hill & Barton, 2005).] Also, there are strong associative connections between color terms and emotion that hold across languages (Osgood 1960). In particular, for English many of the principal definitions of color terms refer explicitly to emotion (Supplementary Figure 5). And interestingly, many of the principal color definitions in English refer to skin and blood (Supplementary Figure 5), suggesting a strong connection between color, emotions, skin and blood. Our hypothesis may provide avenues for explaining enigmatic aspects of color, such as why some hues are considered across cultures to be warm (light) versus cold (dark), or strong versus weak (see Supplementary Figure 6).

References

1. Allman JM (1999) *Evolving Brains*. (New York, Scientific American Library).
2. Bone, R.A., Landrum, J.T. & Cains, A. Optical density spectra of the macular pigment in vivo and in vitro. *Vision Research* 32, 105-110 (1992).
3. Boynton RM (1997) Insights gained from naming the OSA colors. In Hardin CL & Maffi L (eds.) *Color Categories in Thought and Language* (Cambridge University Press, Cambridge), pp. 135-150.
4. Boynton RM & Olson CX (1987) Locating basic colors in the OSA space. *Color Research & Applications* 12: 94-105.
5. Cacioppo JT, Klein DJ, Berntson GG & Hatfield E (1993) The psychophysiology of emotion. In Lewis M & Haviland JM (Eds.) *Handbook of Emotions* (Guilford Press, New York), pp. 119-142.
6. Darwin C (1899) *The Expression of the Emotions in Man and Animals* (D. Appleton and Company, New York and London). [Reprinted by University of Chicago Press, Chicago, 1965.]
7. Drummond PD (1994) The effect of anger and pleasure on facial blood flow. *Austr J Psychol* 46: 95-99.
8. Hill RA & Barton RA (2005) Red enhances human performance in contests: signals biologically attributed to red coloration in males may operate in the arena of combat sports. *Nature* 435: 293.
9. Hingston RWG (1933) *The Meaning of Animal Colour and Adornment*. Edward Arnold, London.
10. Honig WK & Urcuioli PJ (1981) The legacy of Guttman and Kalish (1956): 25 years of research on stimulus generalization. *J Exp Anal Behav* 36: 405-445.
11. Horton J & Harrison H (1995) *How to Paint Skin Tones* (Northern Light Books, Cincinnati, OH).
12. Kalish HI (1958) The relationship between discriminability and generalization: A re-evaluation. *J Exp Psychol* 55: 637-644.

13. Kay P & Regier T (2003) Resolving the question of color naming universals. *Proc Natl Acad Sci* 100: 9085-9089.
14. Kienle A, Lilge L, Vitkin A, Patterson MS, Wilson BC, Hibst R & Steiner R (1996) Why do veins appear blue? A new look at an old question. *Applied Optics* 35: 1151-1160.
15. Lee E-J & Ha Y-H (1997) Automatic flesh tone reappearance for color enhancement in TV. *IEEE Trans Consumer Electronics* 43: 1153-1159.
16. Levenson RW (2003) Blood, sweat, and tears: the autonomic architecture of emotion. *Ann NY Acad Sci* 1000: 348-366.
17. Osgood CE (1960) The cross-cultural generality of visual-verbal synesthetic tendencies. *Behav Sci* 5: 146-169.
18. Parr LA (2003) The discrimination of faces and their emotional content by Chimpanzees (*Pan troglodytes*). *Ann NY Acad Sci* 1000: 56-78.
19. Patel M (1995) Colouration issues in computer generated facial animation. *Computer Graphics Forum* 14: 117-126.
20. Russell R, Sinha P, Nederhouser M & Biederman I (2004) The importance of pigmentation for face recognition [Abstract]. *Journal of Vision* 4: 418a.
21. Sinha R, Lovullo WR, Parsons OA (1992) Cardiovascular differentiation of emotions. *Psychosomatic Medicine* 54: 422-435.
22. Stockman, A., Sharpe, L.T. & Fach, C.C. The spectral sensitivity of the human short-wavelength cones. *Vision Research* 39, 2901-2927 (1999).
23. Stockman, A. & Sharpe, L.T. Spectral sensitivities of the middle- and long-wavelength sensitive cones derived from measurements in observers of known genotype. *Vision Research* 40, 1711-1737 (2000).
24. Subramaniam S & Biederman I (1997) Does contrast reversal affect object identification? *Invest Ophthalmol Vis Sci* 38: 998.

25. Tarr MJ, Kersten D, Cheng Y & Rossion B (2001) It's Pat! Sexing faces using only red and green [Abstract]. *Journal of Vision* 1: 337a.
26. Wickler W (1967) Socio-sexual signals and their intra-specific imitation among primates. In Morris D (ed.) *Primate Ethology*, Weidenfeld and Nicolson, London, pp. 69-147.
27. Yip AW & Sinha P (2002) Contribution of color to face recognition. *Perception* 31: 995-1003.
28. Zonios, G., Bykowski, J. & Kollias, N. Skin melanin, hemoglobin, and light scattering properties can be quantitatively assessed in vivo using diffuse reflectance spectroscopy. *J Invest Dermatol* 117, 1452-1457 (2001).

Supplementary Table 1

Evidence that human dichromats have difficulty perceiving skin modulations.

Citation	Difficulty for color deficient observer
PERSONAL OBSERVATIONS	
Dalton (1794) [discussed in Anthony & Spalding (2004)]	...could scarcely distinguish mud from blood on his stockings.
Wilson (1855) [discussed in Anthony & Spalding (1999, 2004)]	Problems recognizing redness in the lips, cheeks, nose, and inflammation, all which looked like blue. [A physician interviewed by Wilson.]
Best & Haenel (1880) [discussed in Anthony & Spalding (1999, 2004)]	Most struck by the change in normal people's complexion. Skin appeared waxen pale, with a hint of icterus, their lips and cheeks cyanosed and their optic discs very pale. [Haenel, a physician, induced temporary color deficiency in himself via snowblindness through snow skiing.]
Little (1881) [discussed in Anthony & Spalding (1999, 2004)]	Difficulty recognizing inflammation in the eyes. Red appeared to him bluish; for example, in keratoconjunctivitis and the retinal reflex. [An ophthalmologist.]
Ahlenstiel (1951)	"Slight reddening of the skin, as in blushing, is overlooked by the red-green blind. Growing pale is also overlooked, as is a very slight scarlet rash. Stronger reddening of the skin is labelled as dark grey shadow by the red-green blind. ... Reddening of the interior parts of the body, in the throat, nose, ears and epiglottis, are more difficult to recognise. The bluish discolouration of the lips and nails in circulatory disorders remains imperceptible. Blood spots are imperceptible to the red-green blind on dark materials."
Logan (1977), Spalding (1993), Currier (1994) [discussed in Anthony & Spalding (1999, 2004)]	Difficulty recognizing blushing, pallor, faint rashes, cyanosis, erythema, blood in body products. [Difficulties common to four congenital color-deficient doctors.]
Jeffries (1983) [discussed in Anthony & Spalding (1999, 2004)]	Trouble recognizing the colour of throats ulcers, gangrene, and some sores. [A physician.]
Voke (1980) [discussed in Anthony & Spalding (1999)]	Identifying organs, the presence of pus, blood, cyanosis, jaundice, and facial discolouration. [Medical professionals.]
Cockburn (2004)	"As a child I could not understand what people meant when they said someone was blushing..." [first sentence of this paper!]. "...embarrassment when a patient complained of a red eye but the offending side...was not specified. ...most severe problem was in differentiating between blood and pigment in the retina" [p. 351]. [An optometrist.]
Anthony & Spalding (2004), p. 345	"...I had failed to see the extreme pallor of a woman waiting for surgery. 'Anyone could see it,' the gynaecologist said but I could not. The operation was delayed for a week while the patient received a blood transfusion."
STUDIES	
Steward & Cole (1989), Cole (2004)	Difficulties recognizing skin rashes and sunburn. [17% of color deficient patients queried.]
Campbell et al. (1999)	Recognizing skin rashes, erythema, cyanosis, jaundice, blood in stool. [Among doctors.]
Spalding (1997, 1999)	Most common difficulties were recognizing body color changes of pallor, cyanosis, jaundice, and cherry red. Second commonest difficulty concerned recognizing rashes and erythema of skin. [Among doctors.]
Reiss et al. (2001)	Problems detecting blood in body fluids. [Among doctors.]

[Supplementary Table 1, Changizi et al., "...primate color vision..."]

References for Supplementary Table 1

- Ahlenstiel, H. *Red-green blindness as a personal experience*. (Kodak Research Library, London, 1951).
- Anthony, J. & Spalding, B. Colour vision deficiency in the medical profession. *Br J Gen Pract* 49, 469-475 (1999).
- Anthony, J. & Spalding, B. Confessions of a colour blind physician. *Clin Exp Opt* 87, 344-349 (2004).
- Best, F. & Haenel, H. Rotgrün blindheit nach schneeblendung. *Kin Monatsbl Augenheilkd. Beilagen* 45, 88-105 (1880).
- Campbell, J.L., Spalding, A.J., Mir, F.A. & Birch, J. Doctors and the assessment of clinical photographs—does colour blindness matter? *Br J Gen Pract* 49, 459-461 (1999).
- Cockburn, D.M. Confessions of a colour blind optometrist. *Clin Exp Opt* 87, 350-352 (2004).
- Cole, B.L. The handicap of abnormal colour vision. *Clin Exp Opt* 87, 258-275 (2004).
- Currier, J.D. A two and a half colour rainbow. *Arch Neurol* 51, 1090-1092 (1994).
- Dalton, J. Extraordinary facts relating to the vision of colours. *Memoirs of the Manchester Literary and Philosophical Society* 5, 28-45 (1798).
- Jeffries, B.J. *Colour blindness—its dangers and detection*. (Riverside Press, Cambridge, MA, 1983).
- Little, W.S. Experience of a red-blind physician with one ophthalmoscope. Practical advantage of colour-blindness with a case. *Arch Ophthalm* 10, 20-22 (1881).

- Logan, J.S. The disability in so-called red-green blindness. An account based on many years of self-observation. *Ulster Med J* 46, 41-45 (1977).
- Reiss, M.J., Labowitz, D.A., Forman, S. & Wormser, G.P. Impact of color blindness on recognition of blood in body fluids. *Arch Int Med* 161, 461-465 (2001).
- Spalding, J.A.B. The doctor with an inherited defect of colour vision: the effect on clinical skills. *Br J Gen Pract* 43, 32-33 (1993).
- Spalding, J.A.B. Doctor with inherited colour vision deficiency: their difficulties with clinical work. In *Colour Vision Deficiencies XIII* (ed. Cavonius, C.R.) (Dordrecht, Kluwer, 1997), pp 483-489.
- Spalding, J.A.B. Medical students and congenital colour vision deficiency: unnoticed problems and the cases for screening. *Occup Med* 49, 247-252 (1999).
- Steward, S.M. & Cole, B.L. What do colour vision defectives say about everyday tasks? *Optom Vis Sci* 66, 288-295 (1989).
- Voke, J. *Colour vision testing in specific industries and professions*. (Keller, London, 1980).
- Wilson, G. *Research on colour blindness with a supplement*. (Southerland and Knox, Edinburgh, 1855).

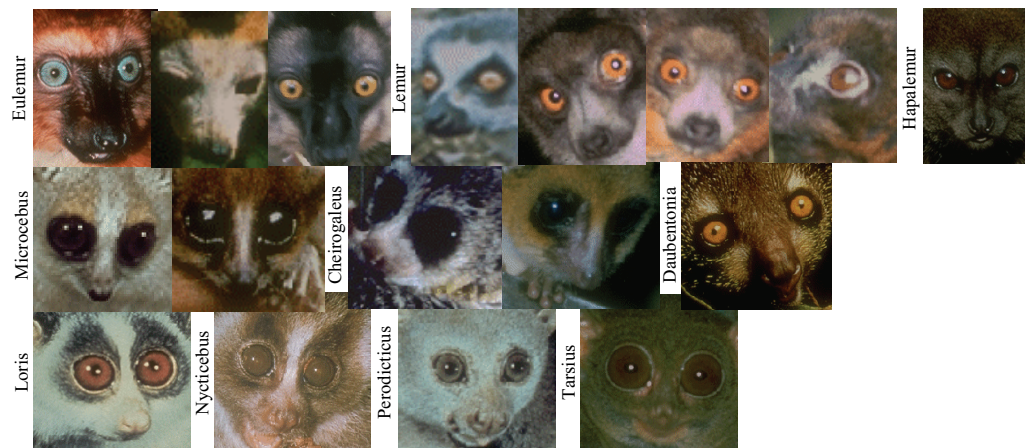
Supplementary Figure 1

Primates categorized by the kind of color vision: **(a)** monochromats, **(b)** dichromats, **(c)** polymorphic trichromats, **(d)** routine trichromats. **(e)** shows the bareness of primate faces as a function of the kind of color vision. Each empty circle shows the average bareness for species within a genus. The solid squares show the average (and standard error) bareness across the genera in each color group. Photographs are shown of one species of each genera in the color groups (their height on the plot not representative of anything). One can see from the pictures [(a) through (d)] and the plot [in (e)] that the monochromats and dichromats tend to be furry faced, and the trichromats (both polymorphic and routine) tend to have significant bare regions. These images are from National Primate Research Center, University of Wisconsin, Madison (<http://pin.primate.wisc.edu/av/images/>). Bareness was quantitatively estimated using these close-up images, and the percentage of bare skin projected onto the image determined within the region of the face bounded by the brows, and drawing straight lines on the photograph from the outer sides of the brows down to the sides of the chin [see the three photographs at the top of (e)]. Because the images shown at the National Primate Research Center have not been selected with our hypothesis in mind, these images provide an unbiased sample of primate images.

a Monochromats



b Dichromats



c Polymorphic Trichromats

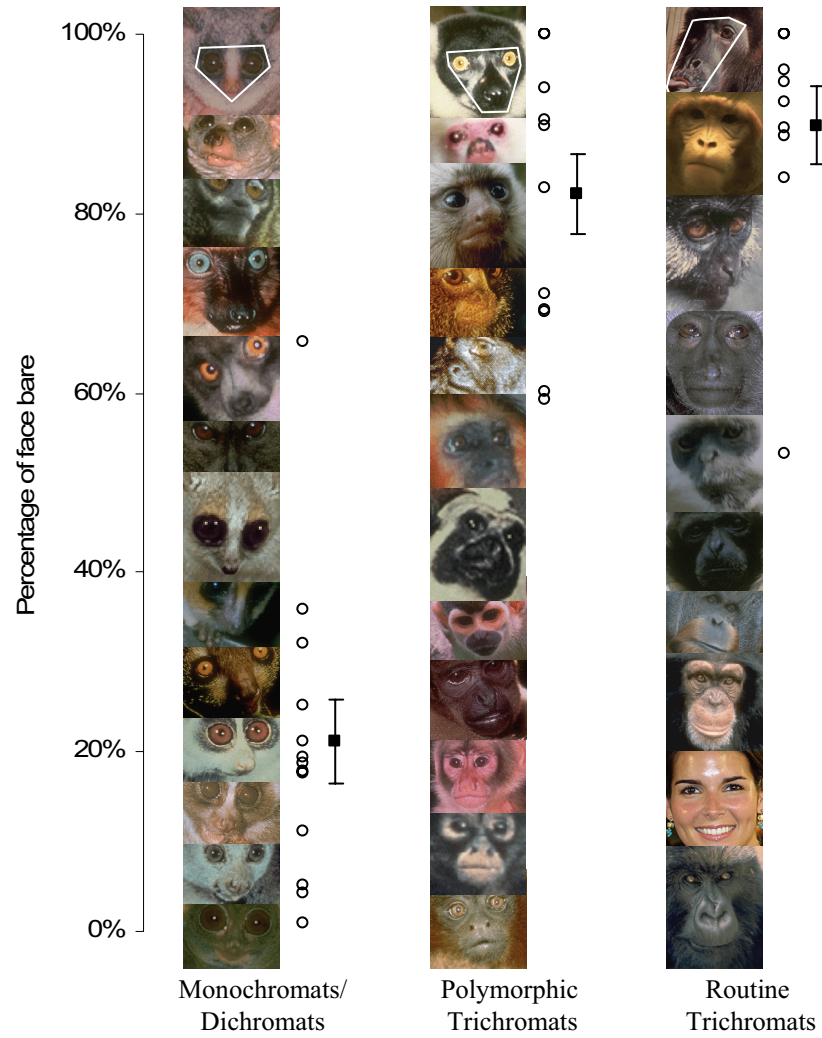


d Routine Trichromats



Supplementary Figure 1, continued, Changizi et al., "...primate color vision..."

e



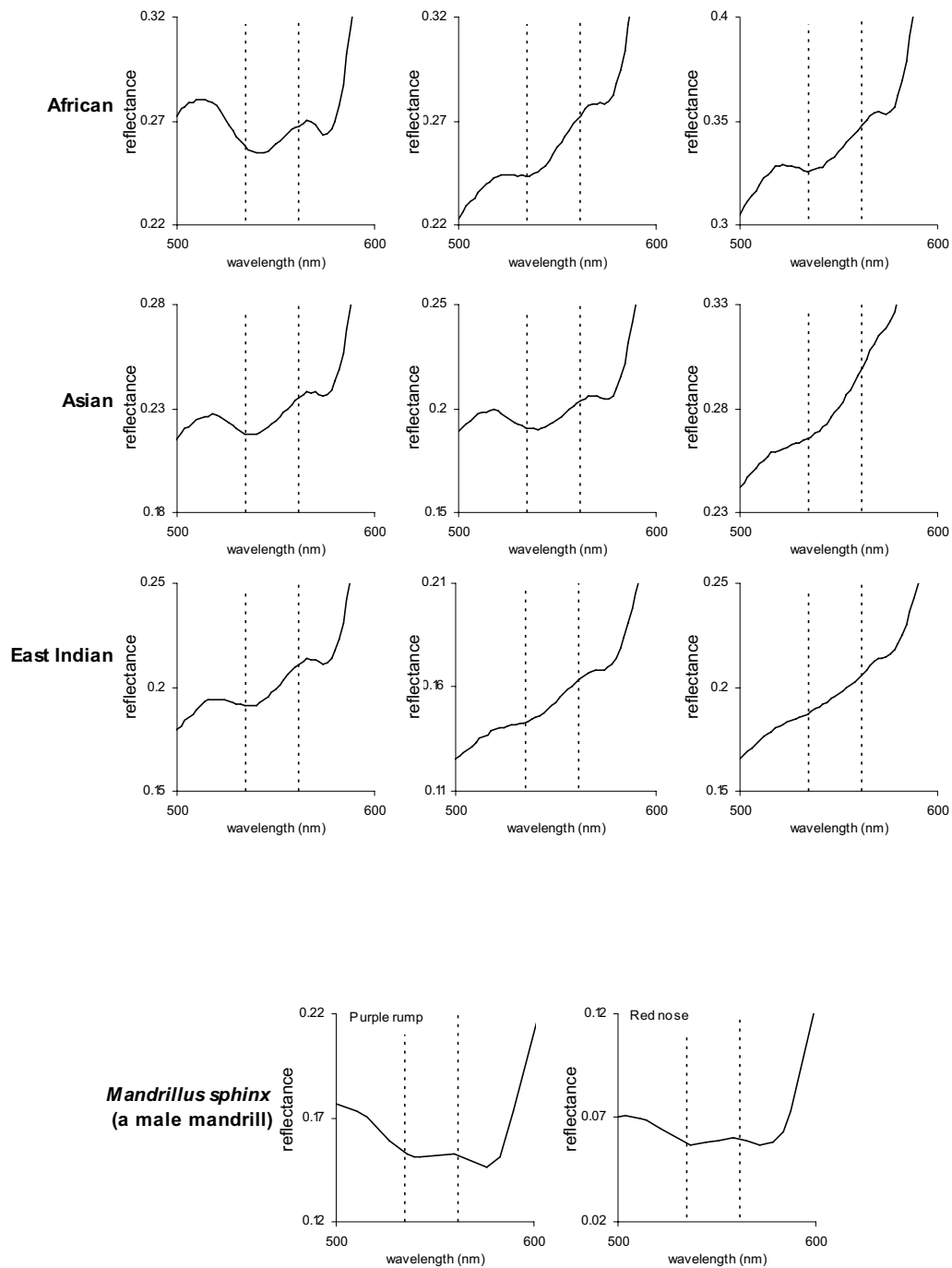
Supplementary Figure 2

Reflectance spectra from human skin (taken from the NCSU spectral database) within the 500nm to 600nm range, focusing on the “W” feature. The y-axis in each case is the same scale. Also shown are “close ups” of the “W” feature for a male Mandrill (Sumner and Mollon, 2003). In nearly every case it is possible to see the “W” feature. For each human phenotype (African, Asian, East Indian and Caucasian), (i) there is considerable variability in the salience of the “W”, and (ii) there are cases in this database with a strong “W”, suggesting that the “W” feature is not peculiar to, or absent from, any of these phenotypes. The same can be found from other sources of skin spectral measurements, including Buck and Froelich (1948) and Angelopoulou (2001).

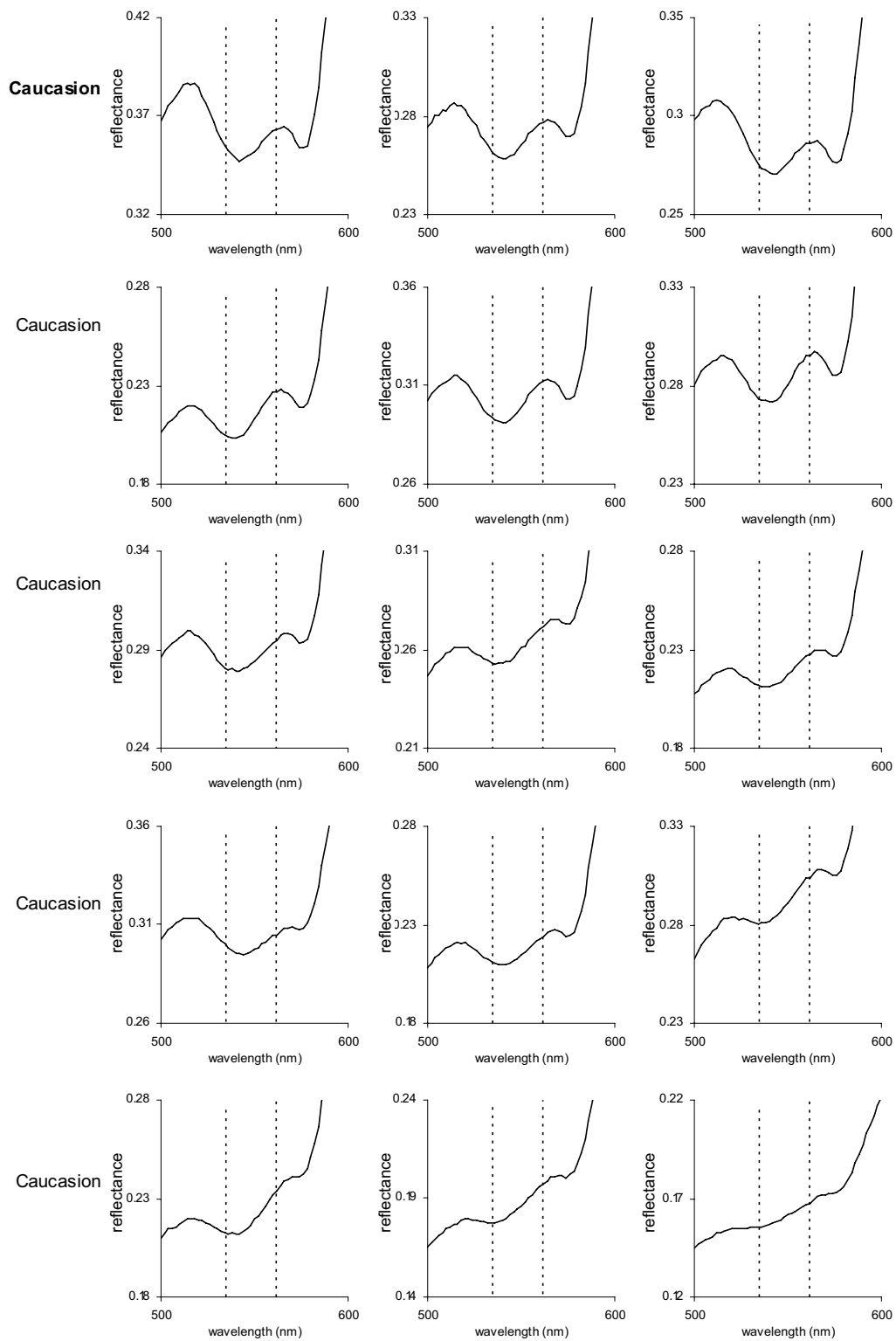
References

Angelopoulou E (2001) Understanding the Color of Human Skin. SPIE Conference on Human Vision and Electronic Imaging VI, SPIE Vol. 4299, May 2001, pp. 243-251.

Buck II GB & Froelich HC (1948) Color characteristics of human complexions. *Illum Eng* 3: 27-49.



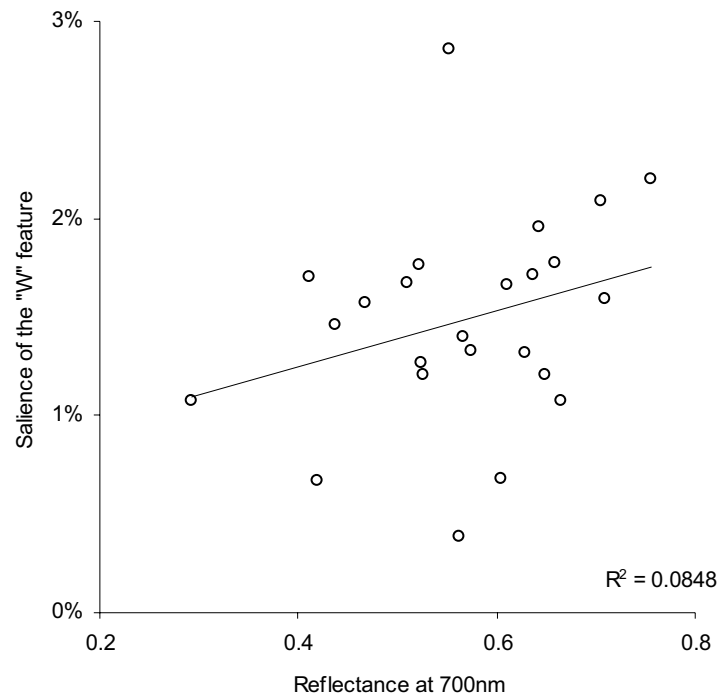
Supplementary Figure 2, Changizi et al., "...primate color vision..."



Supplementary Figure 2, continued, Changizi et al., "...primate color vision..."

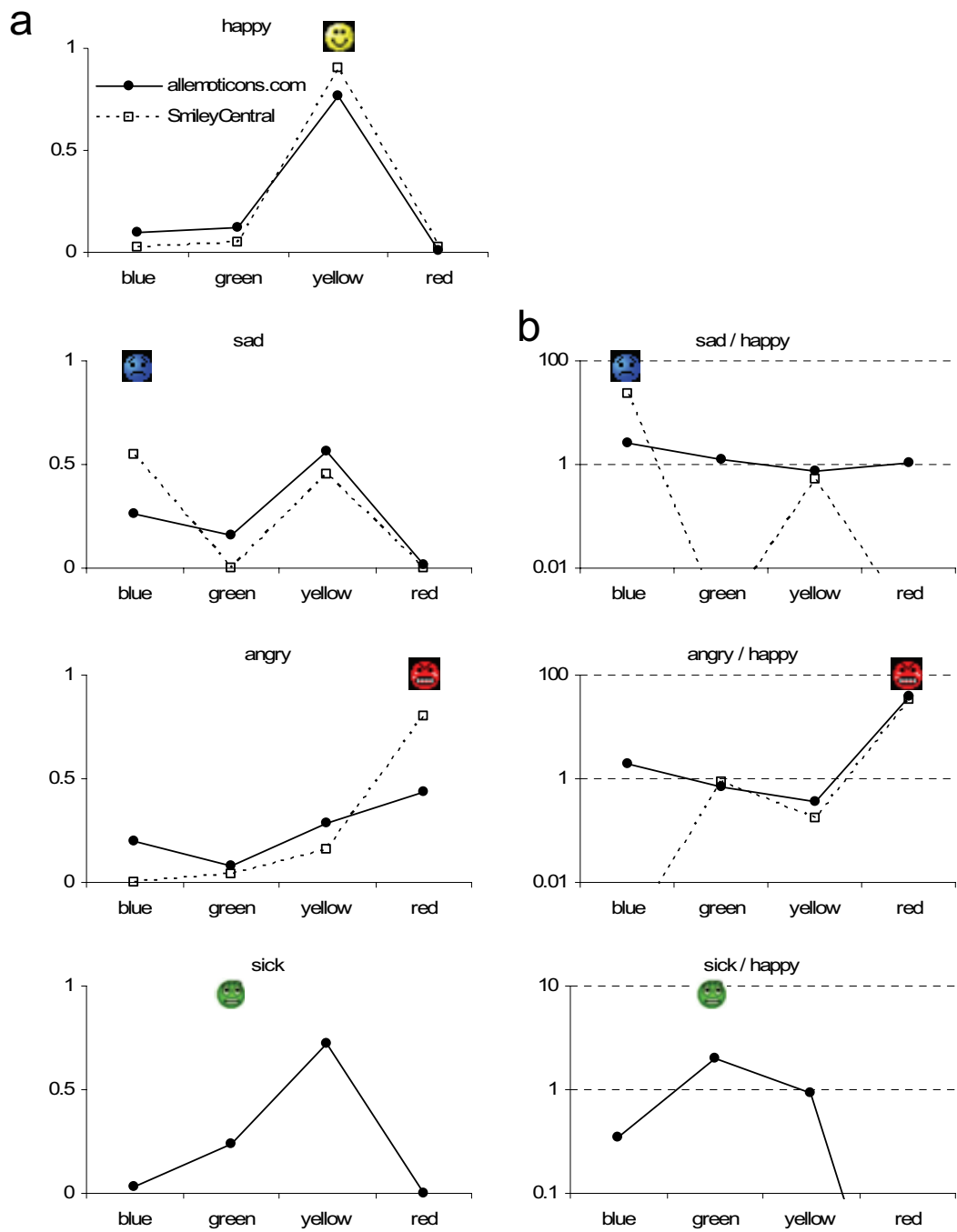
Supplementary Figure 3

The salience of the “W” feature versus the skin reflectance at 700nm, for each of the human skin spectra from Supplementary Figure 1. The skin reflectance at 700nm serves as a measure of the overall height of the reflectance function. Salience of the “W” feature was measured as the difference between the “W” peak and the average of the two dips, divided by the reflectance at 700nm. Relativizing by the reflectance is appropriate because smaller cone modulations are increasingly perceptible at lower stimulus energies. The plot shows that more reflective skin does not tend to have a significantly more salient “W” feature ($R^2 = 0.85$, $df = 22$, $t = 1.43$, $p \approx 0.1$).



Supplementary Figure 4

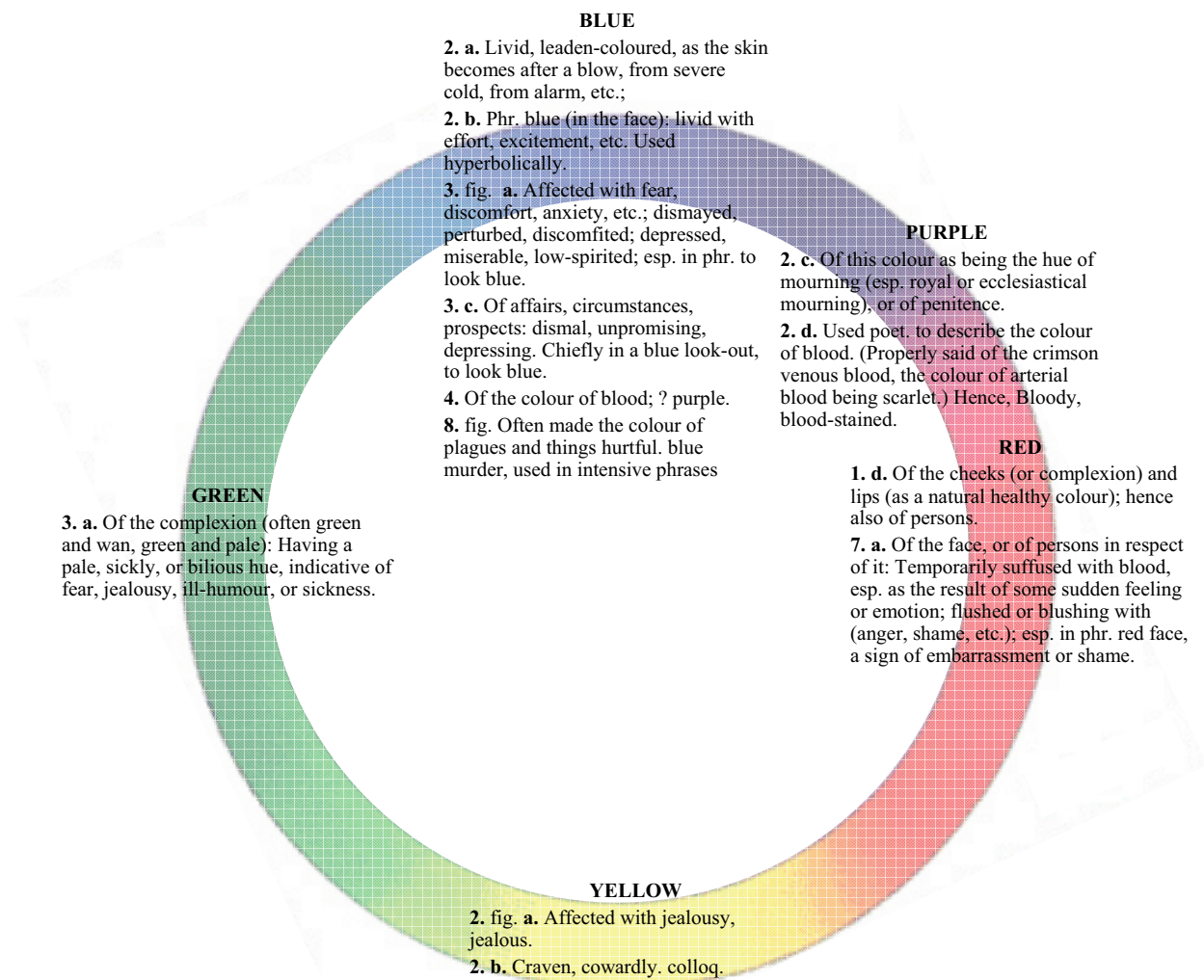
(a) Distribution of colors of cartoon smileys that are happy, sad, angry and sick. Data are from two companies that create these cartoon images for use on internet forums: allemoticons.com and smileycentral.com. For the former, 90 happy faces, 83 sad faces, 85 angry faces, and 29 sick faces were obtained. For the latter, 42 happy faces, 22 sad faces, and 25 angry faces were obtained (there were no faces categorized as “sick”). (b) The base-line color for these cartoon faces tends to be yellow, and shown here are the distributions for “sad”, “angry” and “sick” from (a), but now normalized by the “happy” distribution. One can see that sad faces have a tendency to be colored blue, that angry faces tend to be colored red, and that sick faces tend to be colored green. The moral here is to provide some evidence that color modulations of skin appears to be sufficiently used by people even today that companies can utilize colors around the hue circle to convey emotions.



Supplementary Figure 4, Changizi et al., "...primate color vision..."

Supplementary Figure 5

Entries in the Oxford English Dictionary (Second edition) for color terms that relate to emotion, skin or blood. Note that because the two variables that affect skin color are blood-related, color associations to blood are not independent from associations to skin.

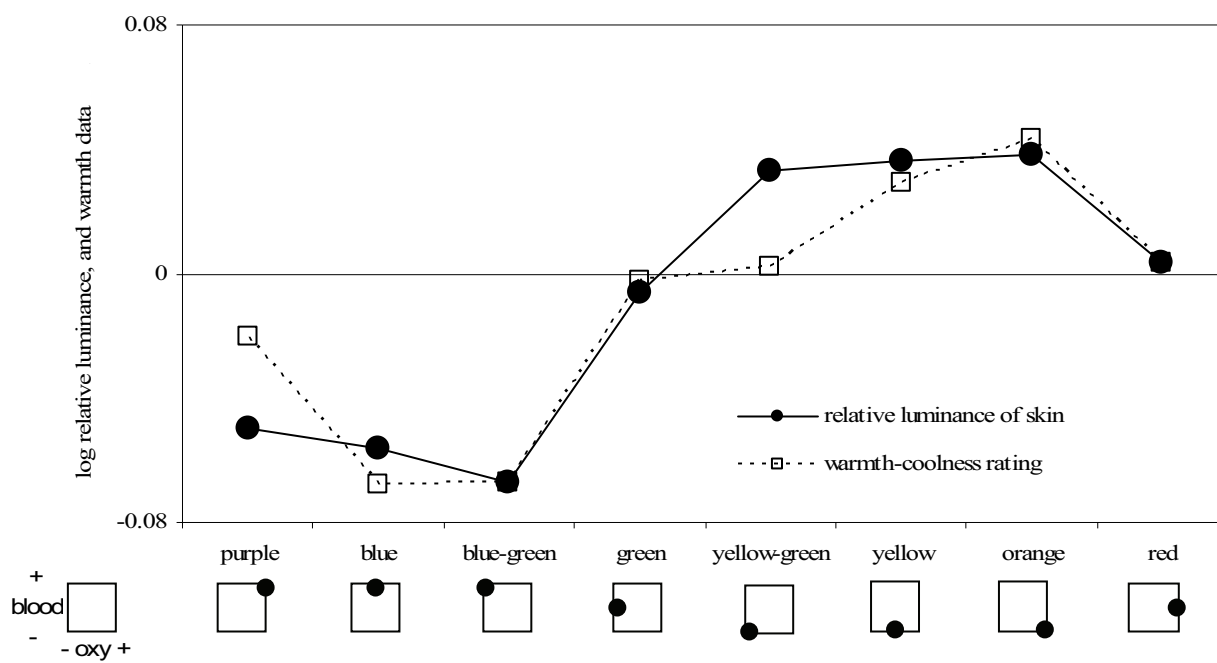


Supplementary Figure 6

Plot of logarithm (base 10) of the luminance of skin, and also ratings of “warmth” or “coolness” of the hues (or “light” and “dark” hues). The luminances were measured as L+M, and are computed relative to baseline skin; we used skin reflectances modulated in blood space as shown in Figure 1c of the main paper, and the dot and squares along the x-axis indicate very approximately where in blood space these colors lie. The color names on the bottom correspond roughly to the direction of the color deviation away from baseline skin. The main observation concerning luminance is that, relative to baseline skin, lowering the hemoglobin concentration in the skin tends to increase luminance, and simultaneously moves the hue toward the yellows, whereas increasing the hemoglobin concentration tends to decrease the luminance, and shifts the hues toward the blues. The result is that the luminance peaks around yellow, and falls to a minimum near blue. Hues are, across cultures, often categorized as “warm” and “cool”, or, equivalently, “light” and “dark”, and these data are from Kutra & Wooten [1] and published in Hardin [2], showing observer judgements of the warmth (positive) versus coolness (negative) of the colors. One can see close similarity between the relative luminance of skin and judgments of the warm or light hues, suggesting a conjecture that perhaps “light” (or “warm”) and “dark” (“cool”) are given these terms because of the typical luminance modulations of skin when skin moves in those hue directions. Our hypothesis may also allow explanations for why some hues are “strong”, such as red: perhaps it signals good physiological condition^{3,4} because greater oxygen saturation is difficult to maintain if not in good condition.

References

1. Kutra, E. & Wooten, B.R. Perceived lightness/darkness and warmth/coolness in chromatic experience. Unpublished MA thesis, Brown University (1996).
2. Hardin, C.L. Explaining basic color categories. *Cross-Cultural Research* 39, 72-87 (2005).
3. Waite C, Little AC, Wolfensohn S, Honess P, Brown AP, Buchanan-Smith HM & Perrett DI (2003) Evidence from rhesus macaques suggests that male coloration plays a role in female primate choice. *Proc R Soc Lond B (Suppl)* 270: S144-S146.
4. Hill, R.A. & Barton, R.A. (2005) Red enhances human performance in contests: signals biologically attributed to red coloration in males may operate in the arena of combat sports. *Nature* 435, 293.



Supplementary Figure 6, Changizi et al., "...primate color vision..."